smarsh

EFFECTIVE POLICY MANAGMENT CLASS

The Smarsh Effective Policy Management class will walk you through the process of setting up policy rules, applying status and exclusions, performing searches and effective results reporting. At the end of the class you'll be able to reduce white noise, identify risks, and customize your Policies.

VIRTUAL TRAINING

WHERE	Virtual classes are held regularly so you can access them from the convenience of your laptop
COST	$300/participant
REGISTRATION	Click here to see upcoming virtual trainings and register

INSTRUCTOR	**Marianna Shafir,** Smarsh Supervision and Compliance Consultant
	• Smarsh Supervision and Compliance Consultant focused on Policy Tuning and Compliance Process Design for clients
	• Licensed attorney with Regulatory Compliance and Securities Law experience.
	• Adjunct professor and lecturer of Real Estate Law at New York Career Institute.
	• Prior to joining Smarsh, worked at various financial firms including Invesco and Bank of New York Mellon.
CLASS SIZE	• Maximum of 25 participants per class
LEARNING OBJECTIVES	**Participants will learn how to:**

Participants will learn how to:

- Review the full set of Policy Rules available to choose from within the Smarsh Archiving Platform
- Select a pre-set Policy Rule from the Smarsh Archiving Platform (e.g., Anti-Money Laundering) and manually enter the lexicon
- Compare and contrast effective vs. ineffective policy rules based on Smarsh best practices
- Build a Policy Rule around a specific regulation
- Apply action or status to the matching messages
- Apply exclusions to improve the resulting hit rate
- Perform a search based on tags
- Access out-of-the-box report that shows resulting "Hit Rate" based on policy rule flags
- Walk through real-life case studies

BONUS	• For each participating firm, Marianna Shafir will conduct a Trending Analysis based on your archived data within the Smarsh Archiving Platform, and provide you with three (3) recommendations to make your firm's policy management more effective. (This is normally a fee-based service that Marianna provides on a consulting basis to Smarsh customers.)