

Compliance Made Easy:

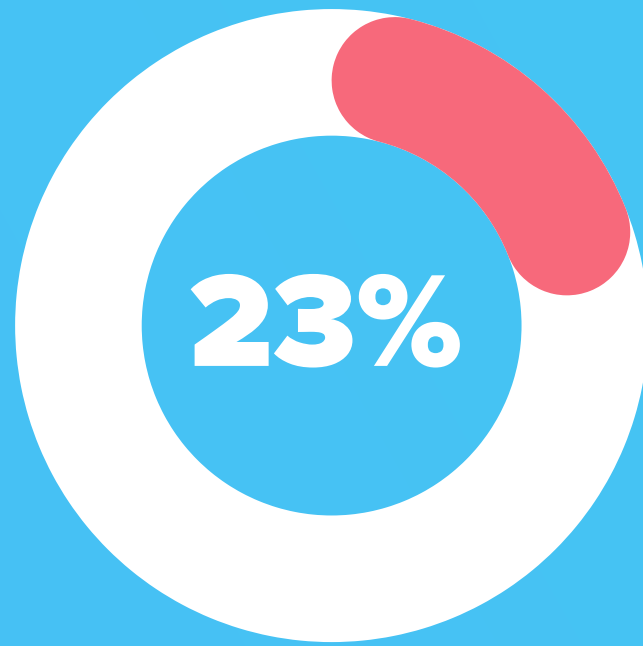
Reporting Digital Communications Data

See the data you care about with simple reporting dashboards and alerts.

Communications data is vast and diverse, and governing regulations are strict. Simplifying data capture and reporting is vital for RIAs and broker-dealers.



40% of millennials communicate with their financial adviser through video chat



23% of Gen X and nearly 40% of millennials text with their financial adviser

Are you ready for compliance made easy?

- ✓ Eliminate blind spots
- ✓ Promptly check reviewer activity
- ✓ Instantly view policy metrics
- ✓ Cover all your queues



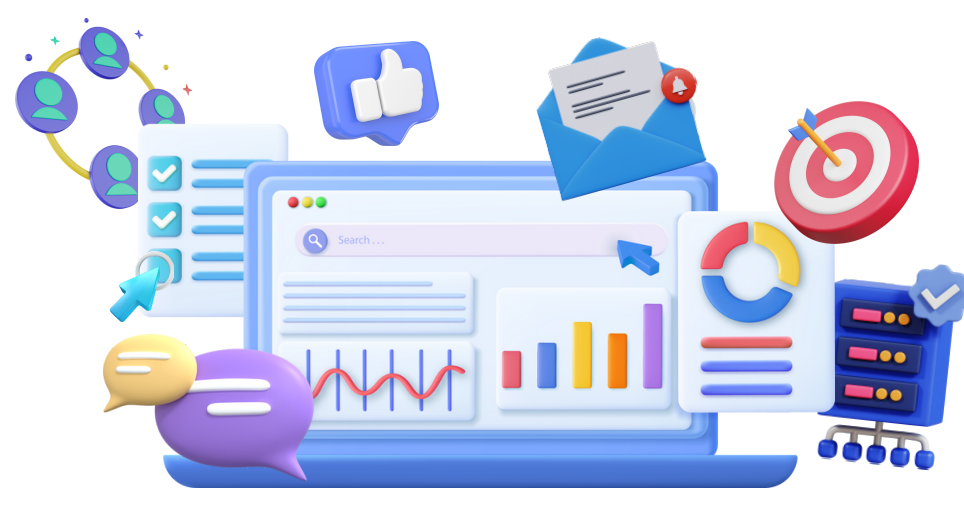
Smarsh Professional Archive



Find and review the data you care about **up to 3x faster** with reporting dashboards

Capture data by:

- Date
- Channel
- Feed

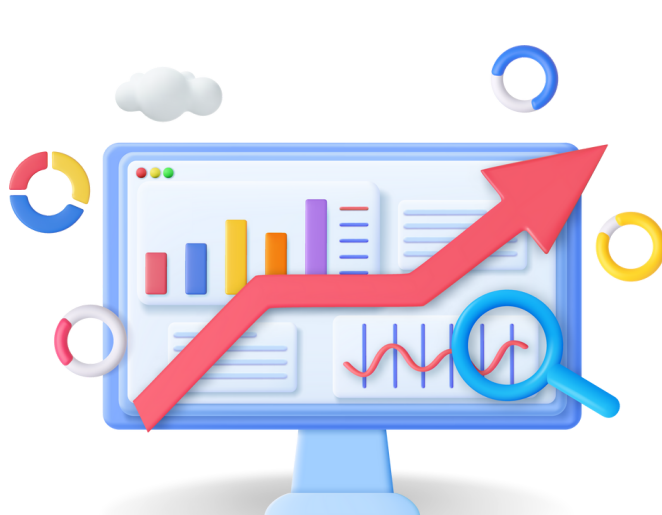


Reviewer activity metrics by:

- Number and percentage of messages reviewed/not reviewed
- Channels and feeds reviewed
- Averages and trends over time

Policy performance by:

- Flag rates for each policy
- Policy hits by channel and feeds
- Trend over time of policy hits



Queue metrics by:

- Total messages assigned to queues
- Age of messages within queues
- Percentage of message reviews completed

Simplify your reporting and meet all your archiving needs with Smarsh Professional Archive.

Visit smarsh.com to learn more.