



Customer Profile

Endurance Wealth Management is a Registered Investment Advisory firm located in Providence, Rhode Island. Regulated by the Securities and Exchange Commission (SEC), the firm must retain and supervise all electronic communications, including email and social media, including LinkedIn and Facebook.

“With Smarsh, you feel as though you’re an important client no matter the size of your firm.”

Tom Gardner
Chief Compliance Officer,
Endurance Wealth



Endurance Wealth adopts Smarsh

Challenge

Chief Compliance Officer Tom Gardner was considering switching from his legacy supervision and archiving platform. With more than 1,000 emails being ingested per day, as well as some social media activity, Gardner wanted to weigh his other options and to then choose the best solution.

Solution

After learning about Smarsh at an industry event, Gardner saw a demo of the platform and appreciated the customer support Smarsh offered, as well as the simplicity of the platform. “With Smarsh, you feel as though you’re an important client no matter the size of your firm.” said Gardner.

Outcome

Gardner moved all of his firm’s data from his previous provider to Smarsh. Gardner now enjoys both exceptional customer services from Smarsh, as well as a simple system that he can navigate regardless of technical savvy.

“Working with Smarsh is a real pleasure. I used the webinars early on to get up and going. I appreciate that when I have a technical question, I can speak to my Account Manager and receive an immediate answer.”



Smarsh® is the recognized global leader in electronic communications archiving solutions for regulated organizations. The Smarsh Connected Suite provides innovative capture, archiving, e-discovery, and supervision solutions across the industry's widest breadth of communication channels.

Scalable for organizations of all sizes, the Smarsh platform provides customers with compliance built on confidence. It enables them to strategically future-proof as new communication channels are adopted, and to realize more insight and value from the data in their archive. Customers strengthen their compliance and e-discovery initiatives, and benefit from the productive use of email, social media, mobile/text messaging, instant messaging and collaboration, web, and voice channels.

Smarsh serves a global client base that spans the top banks in North America and Europe, along with leading brokerage firms, insurers, and registered investment advisors. Smarsh also enables federal and state government agencies to meet their public records and e-discovery requirements. For more information, visit www.smarsh.com.