

# Smarsh and AWS: AI for Financial Services Firms

## Reduce compliance risks, costs, and workloads with Smarsh Intelligent Agent

Financial institutions face massive, ever-growing data volumes. Traditional tools and workflows struggle to keep up, but AI excels at processing large data sets, surfacing patterns and reducing false positives.



## Why Smarsh Intelligent Agent?

Built on AWS, Smarsh Intelligent Agent streamlines high-value, high-risk workflows to identify regulatory and conduct-related threats. It zeroes in on indicators of market manipulation, fraud, sexual harassment, and other risk and misconduct in your firm's communications with unmatched precision, accuracy and speed. Less relevant messages are automatically screened out, minimizing review time and allowing compliance teams to focus on real risks.

## Why Smarsh?



### Proven technology

The largest global financial institutions rely on Smarsh AI compliance technologies.



### Built on regulatory experience

Intelligent Agent's data is built on historical alert patterns and human analyst decisions that have undergone regulatory review for over a decade.



### Purpose-built for financial services

Unlike static traditional AI models, Intelligent Agent acts autonomously to align with strict compliance frameworks while reducing operational risk.

## Key Benefits

### Reduce workloads by up to 50%

Focus on real risks. Contextual filtering and large language models automatically remove irrelevant content like disclaimers, marketing fluff, and spam from reviews.

### Identify 3-5x more real risks

Improve risk detection and accuracy with behavior and intent analysis – not just keywords.

### Built for global organizations

Multilingual detection enables expanded compliance coverage across key languages, regions, and communication channels.

### Confidence in compliance

Transparent audit trails and robust model governance strengthen regulatory confidence and enable easier auditing.

# Smarsh and AWS: AI for Financial Services Firms

## Leveraging the power of AWS

By partnering with AWS and building on their extensive portfolio of cloud services, we're able to turn communications supervision and compliance into powerful business insights. This includes industry-leading compute, containers, machine learning, and AI technologies.

We continue to explore a variety of new workflows powered by Amazon SageMaker to support compliance and Amazon Bedrock and Amazon Q Generative AI capabilities for deeper predictive intelligence.



- AWS Marketplace Seller
- Financial Software Competency

## Smarsh powered by AWS

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**Infinite scalability**

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**Triple-active availability**

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**Data residency requirements**

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**Reliable and secure**

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**Cost-effective**

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## Let's talk

Meet the Smarsh team to see how Smarsh Intelligent Agent can help your organization boost productivity, enhance compliance and stay ahead of risk.

Schedule a demo, ask questions, and find out what is possible when AI is built for your business.

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