Reduce the cost and improve the efficiency of your organization's supervision and surveillance

Implement a fully managed, comprehensive software solution for your organization's communications compliance obligations.

The challenge

Financial services organizations must abide by regulatory requirements to capture, supervise and produce any digital communications content generated while doing business.

This includes instant messaging and collaboration content, text messages, social media and voice content that amounts to terabytes of communications data. Not doing so tempts regulatory actions, as evidenced by the SEC's recent multi-million dollar penalties and the FCA's 160% year-over-year increase in the number of fines issued.

Relying on outdated practices and archives creates a cumbersome process. Instead of surfacing true risks, compliance teams are overburdened reviewing false positives.

A traditional supervisory review process is simply not equipped to handle today's business landscape and can result in reduced productivity, higher operating costs and increased risk of fines.

The solution

Smarsh Enterprise Conduct is the supervision and surveillance application for today's financial services organizations. Backed by regulatory-grade artificial intelligence capabilities and used in unison with a sophisticated, cloud-native data warehouse, Enterprise Conduct turns oversight into foresight to boost productivity and effectiveness.

With Enterprise Conduct, regulated organizations are empowered to efficiently tackle the ever-increasing volume and changing variety of communications. Misconduct in communications across all levels of your business is surfaced faster and more accurately — enabling your compliance team to make impactful improvements without raising its headcount.

Reduce cost, inefficiencies and risk

Achieve higher efficiencies with cloud-native technology

- Migration to cloud hosting eliminates on-premise capital expenses
- Cloud services are fully managed by Smarsh, reducing operating expenses
- Elastic scale enables you to flex up or down with your organization's needs
- Specialized workflow improves effectiveness and reduces headcount and cost
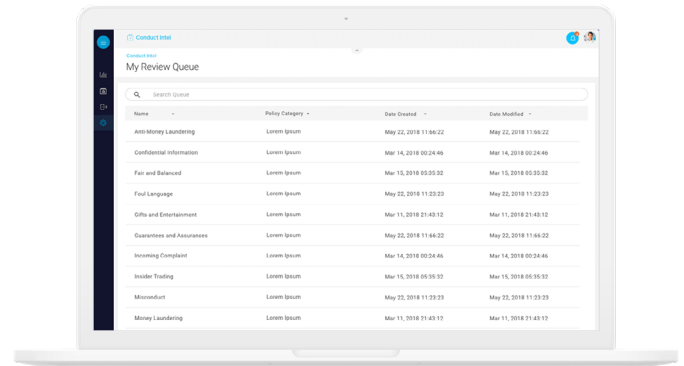
Business outcomes

Get immediate ROI with pre-packaged capabilities

Enterprise Conduct can be deployed with pre-packaged scenarios to analyze communications specific to the financial services industry. It provides immediate impact by reducing false positives by 90% compared to traditional lexicon approaches and identifying previously hidden risks.

Save on operational expenditure with a fully managed service

Enterprise Conduct is a fully managed cloud-native service that doesn't require specialized knowledge or any significant client-side infrastructure teams to manage the solution. All implementation, infrastructure management, bug fixes and other deployments are wholly owned by Smarsh. Upgrade your organization's legacy archive to the Smarsh Enterprise Archive, which integrates seamlessly with Enterprise Conduct.

Unlock resources previously tied up in inefficient workflows and reviewing false positives

Enterprise Conduct provides search results in seconds alongside powerful review functionality. By streamlining processes, reducing false positives and surfacing more real risks, supervision and surveillance work can be done faster. This means using fewer people and less time to do the same job.

Gain access to tools that reveal actionable insights

Open APIs allow you to connect to the latest third-party applications to make the most of your current and future investments. These tools enable your compliance team to conduct additional surveillance and spot otherwise hidden trends and patterns in your organization's communications data.

Ensure compliance obligations with top-of-the-line system uptime

Enterprise Conduct's distributed architecture means there is no single point of failure, with virtually no risk of data loss or downtime. This means your organization's data is always accessible, further reducing compliance costs.