

FAQ: Making the Switch to Smarsh Professional Archive



Smarsh has more than two decades of experience helping firms meet recordkeeping and supervision requirements from the SEC, FINRA, and other regulatory bodies. We've made it a habit of being the first to deliver powerful oversight capabilities: cloud-native archiving solutions, mobile capture, AI-powered compliance — the list goes on. As a Smarsh customer, not only will you be able to meet your regulatory obligations now, but you'll always be ready for what comes next.

Switching archives may seem daunting. Smarsh simplifies the entire process while assuring data integrity, maintaining chain of custody, and minimizing delays. At Smarsh, we make migrating your firm's precious data easier, safer and faster than you might think.

Experienced in-house team

- **Over 200 years** of combined team member experience
- **More than 600** migrations in the last decade

Proven expertise across platforms

- **Proprietary automated migration** architecture for 32 legacy data sources for the most common on-premise and cloud archives
- **Fully auditable chain** of custody throughout migration lifecycle

Unmatched scalability

- **More PB+ data migrations** than our competitors combined
- **Migrate 500GB to 25PB of data** per day, depending on your needs
- **Harvest source data** 4-12 times faster than source system APIs

"I was really impressed with the whole implementation process, particularly how smoothly our older emails were ingested into the new system."

- CUNA Brokerage Services

Why make the switch to Smarsh?

Smarsh powers what's next

- **Scale compliance instantly** with automated supervision
- **Future-proof your operations** with open APIs and seamless integrations across your risk and governance ecosystem

Reduce total cost of ownership

- **Shift to a predictable SaaS platform** that lowers the total cost of ownership
- **A subscription-based model** means regular, proactive security updates and platform-hardening system updates

Be audit-ready all the time

- Built-in supervision workflows, immutable storage, managed legal holds, and proactive services **help you pass audits** — before they happen

Scale supervision without scaling teams

- **Offload first-pass review** and policy tuning to certified experts through Assisted Review and Managed Services, freeing your team to focus on high-risk investigations
- **Reduce operational risk** and equip global teams with secure, cloud-based compliance access



“Its unparalleled ability to migrate our legacy data was the reason we chose Smarsh.”

- EVP at a multinational bank, migrated more than 1.5 TB to Smarsh

“Smarsh provided detailed reports and regular updates during the migration, so we had full visibility to all of our branches.

We knew exactly what was happening at all times during the implementation.”

ValMark Securities

What’s the process like?

We first set up Smarsh Professional Archive for the capture and archiving of new data in your new Smarsh system. Once Professional Archive is up and running, we can begin migrating historical data from your existing archive to Professional Archive.

Our expert team will lead you from start to finish, from formulating your data strategy to enriching and ingesting your data to complete message-level reconciliation. We support multiple data sources, including legacy on-premise and cloud-based archives. A full audit trail is maintained, allowing you to transition seamlessly and with confidence.

How long will it take?

The time it takes to complete a data migration to Smarsh will depend largely on:

- The source of the data
- The volume of data being migrated
- Whether Smarsh harvests from your system directly or when we receive the data if you deliver the data yourself

Depending on your current archive vendor, we can craft a data harvest/data receipt program tailored to your needs. In some cases, we can assist with data extraction fees.

Will legal holds be migrated over?

We can evaluate legal holds in your existing legal hold system and apply those holds after the migration. We can also apply all current holds in Professional Archive.

Who else trusted us to move their data?

For over 15+ years and more than 1,000 data migrations and imports, we have consistently satisfied third-party tests and validations.

A multinational investment bank and financial services company

- Migration of over 1.2PB data while preserving supervision history
- < **0.02%** exception rate
- Passed third-party validation

A major insurance and financial services company

- End-of-life regulatory concerns addressed
- Remediated 30-year-old Lotus Notes data, including multiple edge cases
- < **0.0003%** exception rate

A top 10 financial services company in North America

- Remediated Bloomberg data loss, including supervision
- 1,300TB migrated in 60 days
- Rigorous testing yielded data segregation that stood up to regulatory scrutiny

Our award-winning service and support team is here every step of the way.



Switch to an archive that meets your needs

Don't let your current archive provider's data extraction costs, early termination fees, or costly contract exits hold you back. We might be able to help ease the burden.

If you're ready to streamline your oversight and supervision processes with an archive built for compliance, [contact us to get the conversation started](#).



Smarsh® enables companies to transform oversight into foresight by surfacing business-critical signals from the most in-demand communications channels. Regulated agencies of all sizes rely upon the Smarsh portfolio of cloud-native digital communications capture, retention and oversight solutions to help them identify regulatory and reputational risks within their communications data before those risks become fines or headlines.

Smarsh serves a global client base spanning the top banks in North America, Europe and Asia, along with leading brokerage firms, insurers, and registered investment advisors and U.S. state and local government agencies. To discover more about the future of communications capture, archiving and oversight, visit www.smarsh.com

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Guide - 07/25



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